

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PHASE OF  
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To: Hon. Commissioner of Patents  
Washington, D.C. 20231



00909

TRANSMITTAL LETTER TO THE UNITED STATES PATENT & TRADEMARK OFFICE Dkt: P 277191 /2990533US/VK/KP  
DESIGNATED/ELECTED OFFICE (DO/EO/US) M# /Client Ref.

From: Pillsbury Winthrop LLP, IP Group:

Date: February 28, 2001

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

- |  |   |  |
|--|---|--|
| 1. International Application<br>PCT/FI00/00357<br>↑ country code | 2. International Filing Date<br>26 April 2000<br>Day MONTH Year | 3. Earliest Priority Date Claimed<br>28 June 1999<br>Day MONTH Year<br>(use item 2 if no earlier priority) |
|--|---|--|

4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:

(a) ☒ 20 months from above item 3 date (b) ☐ 30 months from above item 3 date,

(c) Therefore, the due date (unextendable) is February 28, 2001

5. Title of Invention LOCATION MANAGEMENT FOR CELLULAR SYSTEM

6. Inventor(s) RAJANIEMI, Jaakko et al

Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

7. ☒ Please immediately start national examination procedures (35 U.S.C. 371 (f)).

8. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file in if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:

- a. ☐ Request;  
b. ☐ Abstract;  
c. \_\_\_\_\_ pgs. Spec. and Claims;  
d. \_\_\_\_\_ sheet(s) Drawing which are ☐ informal ☐ formal of size ☐ A4 ☐ 11"

9. ☒ A copy of the International Application has been transmitted by the International Bureau.

10. A translation of the International Application into English (35 U.S.C. 371(c)(2))

- a. ☒ is transmitted herewith including: (1) ☒ Request; (2) ☒ Abstract;  
(3) 13 pgs. Spec. and Claims;  
(4) 3 sheet(s) Drawing which are:  
☐ informal ☒ formal of size ☒ A4 ☐ 11"  
b. ☐ is not required, as the application was filed in English.  
c. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.  
d. ☐ Translation verification attached (not required now).

RE: USA National Filing of PCT /FI00/00357

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11. ☒ **PLEASE AMEND** the specification before its first line by inserting as a separate paragraph:  
 a. ☒ --This application is the national phase of international application PCT/FI00/00357 filed April 26, 2000 which designated the U.S.--  
 b. ☐ --This application also claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_.--
12. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., **before 18th month** from first priority date above in item 3, are transmitted herewith (file only if in English) including:
13. ☒ PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau
14. ☐ Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of **claim amendments** made before 18th month, is attached (**required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled**).
15. **A declaration of the inventor** (35 U.S.C. 371(c)(4))  
 a. ☐ is submitted herewith ☐ Original ☐ Facsimile/Copy  
 b. ☒ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
16. **An International Search Report (ISR):**  
 a. Was prepared by ☐ European Patent Office ☐ Japanese Patent Office ☒ Other  
 b. ☒ has been transmitted by the international Bureau to PTO.  
 c. ☒ copy herewith (1 pg(s).) ☒ plus Annex of family members (1 pg(s).).
17. **International Preliminary Examination Report (IPER):**  
 a. ☒ has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.  
 b. ☐ copy herewith in English.  
 c.1 ☐ IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:  
 c.2 ☐ Specification/claim pages #\_\_\_\_ claims #\_\_\_\_  
 Dwg Sheets #\_\_\_\_  
 d. ☐ Translation of Annex(es) to IPER (**required by 30<sup>th</sup> month due date, or else annexed amendments will be considered canceled**).
18. **Information Disclosure Statement** including:  
 a. ☒ Attached Form PTO-1449 listing documents  
 b. ☒ Attached copies of documents listed on Form PTO-1449  
 c. ☒ A concise explanation of relevance of ISR references is given in the ISR.
19. ☐ **Assignment** document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.
20. ☐ Copy of Power to IA agent.
21. ☐ **Drawings** (complete only if 8d or 10a(4) not completed): \_\_\_\_ sheet(s) per set: ☐ 1 set informal; ☐ Formal of size ☐ A4 ☐ 11"
22. Small Entity Status ☐ is **Not** claimed ☐ is claimed (**pre-filing confirmation required**)
- 22(a). \_\_\_\_ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)
23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) FINLAND of:
- |     | Application No. | Filing Date   |     | Application No. | Filing Date |
|-----|-----------------|---------------|-----|-----------------|-------------|
| (1) | 991466          | June 28, 1999 | (2) | _____           | _____       |
| (3) | _____           | _____         | (4) | _____           | _____       |
| (5) | _____           | _____         | (6) | _____           | _____       |
- a. ☒ See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, please proceed promptly to obtain same from the IB.  
 b. ☐ Copy of Form PCT/IB/304 attached.

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24. Attached: Copy of Finnish OA

25. Preliminary Amendment: ATTACHED

25.5 Per Item 17.c2, **cancel original** pages #\_\_\_\_, claims #\_\_\_\_, Drawing Sheets #**26. Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:**Based on amended claim(s) per above item(s) ☐ 12, ☐ 14, ☐ 17, ☒ 25, ☐ 25.5 (hilitte)

Total Effective Claims	minus 20 =	x \$18/\$9	= \$0	966/967
Independent Claims	minus 3 =	x \$80/\$40	= \$0	964/965
If any proper (ignore improper) Multiple Dependent claim is present,		add \$270/\$135	+0	968/969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): →→ **BASIC FEE REQUIRED, NOW** →→→→A. If country code letters in item 1 are not "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

See item 16 re:

1. Search Report was <u>not</u> prepared by EPO or JPO -----	add \$1000/\$500	960/961
2. Search Report was prepared by EPO or JPO -----	add \$860/\$430 +1000	970/971

**SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"**

→ <input type="checkbox"/> B. If USPTO did not issue <u>both</u> International Search Report (ISR) and (if box 4(b) above is X'd) the International Examination Report (IPER), -----	add \$970/\$485	+0	960/961
(only) <input type="checkbox"/> C. If USPTO issued ISR but not IPER (or box 4(a) above is X'd), -----	add \$710/\$355	+0	958/959
(one) <input type="checkbox"/> D. If USPTO issued IPER but IPER Sec. V boxes <u>not all</u> 3 YES, -----	add \$690/\$345	+0	956/957
(of) <input type="checkbox"/> E. If international preliminary examination fee was paid to USPTO and Rules 492(a)(4) and 496(b) <u>satisfied</u> (IPER Sec. V <u>all</u> 3 boxes YES for <u>all</u> claims), -----	add \$100/\$50	+0	962/963

27. **SUBTOTAL = \$1000**

28. If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40 +0 (581)

29. Attached is a check to cover the ----- **TOTAL FEES \$1000**

Our Deposit Account No. 03-3975

Our Order No. 60258 | 277191  
C# M#

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**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filedPillsbury Winthrop LLP  
Intellectual Property GroupBy Atty: Christine H. McCarthy,Reg. No. 41844Sig: Fax: (202) 822-0944  
Tel: (202) 861-3075

Atty/Sec: CHM/mhn

**NOTE:** File in duplicate with 2 postcard receipts (PAT-103) & attachments.

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J602 Rec'd PCT/PTO 2 8 FEB 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT APPLICATION of

**RAJANIEMI et al.**

Appln. No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: Herewith

Examiner: Not Yet Assigned

Title: LOCATION MANAGEMENT FOR CELLULAR SYSTEMS

\* \* \* \* \*

February 28, 2001

**PRELIMINARY AMENDMENT**

Honorable Commissioner of Patents  
Washington, DC 20231

Sir:

Prior to the examination of the subject application, please enter the following amendments:

**IN THE CLAIMS:**

Please cancel claims 1-15 without prejudice or disclaimer.

Please add the following new claims.

16. (New) A method of locating a terminal in a telecommunications system including a core network and an access network, the access network including a first network controller configured to at least temporarily act as a serving network controller of the terminal and to report a location of the terminal to the core network, and a second network controller configured to at least temporarily act as a drift network controller of the terminal and to maintain a connection with the terminal, the method comprising:

transmitting location information of the terminal to the core network based on a first set of predetermined criteria, the location information being indicative of the terminal location;

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transmitting location information to the second network controller in response to the first set of predetermined criteria;  
transmitting the location information to the first network controller;  
reporting the location information to the core network;  
transforming the location information into transformed location information based on a second set of predetermined criteria; and  
transmitting the transformed information to the core network in response to the second set of predetermined criteria.

17. (New) The method of claim 16, further comprising:  
terminating a number of connection-oriented connections with the terminal;  
and  
reporting a real or correct location of the terminal to the core network in response to terminating a last connection-oriented connection with the terminal.

18. (New) The method of claim 16, wherein the terminal has an active set including the terminal location when the location of the terminal exists and is controlled by the first network controller.

19. (New) The method of claim 18, wherein the location information indicates a virtual location when the active set of the terminal excludes a location controlled by the first network controller.

20. (New) The method of claim 18, wherein the location information indicates a current terminal location controlled by the first network controller and the active set of the terminal includes the current terminal location.

21. (New) The method of claim 18, wherein the location information indicates a terminal location which is at least partially controlled by the first network controller.

22. (New) The method of claim 16, further comprising:

receiving location information using the terminal, wherein the location information indicates a terminal location from which the terminal last received location information.

23. (New) The method of claim 16, wherein the location information indicates a terminal location controlled by the second network controller and the active set excludes a location controlled by the first network controller.

24. (New) The method of claim 16, wherein the location information indicates at least one cell identifier, a routing area identifier or a location area identifier.

25. (New) The method of claim 17, wherein the first set of criteria comprises a change of the terminal location, activation of a Packet Data Protocol context for the terminal and expiry of a recurring period of time.

26. (New) The method of claim 16, wherein the first and the second network controllers are associated with a first and a second switching element, respectively, each of the first and second switching elements being configured to maintain subscription information related to the terminal; and

the first switching element being configured to receive location information and to send location information to the second switching element without a separate request.

27. (New) The method of claim 26, wherein the location information comprises at least a Packet Data Protocol of the terminal, a Mobility Management context, a Packet Data Protocol of the terminal and a Mobility Management context.

28. (New) The method of claim 26, wherein the first and the second switching elements are a support node, a switching center or a support node and a switching center.

29. (New) The method of claim 28, wherein the support node is a substantially serving General Packet Radio Service support node and the switching center is a Mobile services Switching Center.

30. (New) The method of claim 16, wherein the terminal is a mobile station, the access network is a radio access network and the network controller is a radio network controller.

31. (New) A network controller for supporting a terminal in a telecommunications system including a core network and an access network, the network controller comprising:

a reporting module configured to report location information of the terminal to the core network; and

a transforming module configured to transform the location information into transformed location information,

wherein the transforming module transforms the location information into transformed location information prior to the reporting module reporting the location information of the terminal to the core network.

32. (New) The network controller of claim 31, wherein the core network is configured to determine the terminal location and the access network includes a drift network controller configured to maintain a connection with the terminal,

wherein the network controller is configured to act, at least temporarily, as a serving network controller of the terminal in the access network, and to report the terminal location to the core network, and

wherein the network controller is configured to receive location information from the drift network controller and to report the location information to the core network for determining the terminal location.

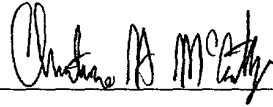
#### **REMARKS**

By this Amendment, claims 1-15 have been deleted and claims 16-32 have been newly added. No new matter is entered. Claims 16-32 are fully supported by the originally filed specification and claims. Claims 16-32 are pending in the present application. Reconsideration and allowance are respectfully requested.

It is respectfully submitted that claims 16-32 are in condition for allowance.  
An early and favorable action on the merits is respectfully solicited.

Respectfully submitted,

Pillsbury Winthrop LLP

By: 

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## Location management for cellular systems

### **Background of the invention**

The invention relates to methods and equipment for reporting a mobile terminal's location. The invention can be used e.g. for implementing  
5 handover in a mobile communications system. The invention is particularly useful in a system which is at least partly a third generation (3G) mobile communications system. 3G mobile communications systems, such as the UMTS (Universal Mobile Telecommunications System) are being standardised by the UMTS Forum and the European Telecommunication Standard Institute ETSI.  
10 The current vision is that 3G systems will include both circuit-switched and packet-switched components.

Fig. 1 is a block diagram of a telecommunications system showing the components which are essential for understanding the invention. A UMTS Mobile Station MS consists of Mobile Equipment ME and a USIM card (User  
15 and Services and Identity Module). There is a radio interface Uu between the MS and a Radio Access Network RAN, which comprises Base Stations BS under control of Radio Network Controllers RNC. For circuit-switched services, the RNCs are connected, via an Iu interface, to Mobile services Switching Centres MSC, and for packet-switched services, there is a connection, via a  
20 Gb interface, to Serving GPRS Support Nodes SGSN (GPRS = General Packet Radio Service). The MSC and SGSN elements may include separate UMTS addition sections. Subscriber data related to the MS is stored permanently in a Home Location Register HLR and for circuit-switched operation, the data is transferred to the Visitor Location Register VLR of the MSC which currently  
25 serves the MS. There may be separate Interworking units IWU for adapting the A and Gb interfaces of GSM/GPRS systems to the Iu interface of the UMTS. For storing equipment-related data, the network comprises an Equipment Identity Register EIR. For entering and updating subscriber-specific data, there is an Operation and Maintenance O&M section having a Man-  
30 Machine Interface MMI. For creating and managing supplementary services, there is typically a dedicated Service Control Node SCN which can be seen as an evolved version of a Service Control Point (SCP) of Intelligent Networks.

Only the packet-switched section will be described in more detail, and it is assumed that this section will resemble a GPRS system. The GPRS  
35 infrastructure comprises support nodes such as a GPRS gateway support node (GGSN) and a GPRS serving support node (SGSN). The main functions

of the GGSN nodes involve interaction with the external data network. The GGSN updates the location directory using routing information supplied by the SGSNs about an MS's path and routes the external data network protocol packet encapsulated over the GPRS backbone to the SGSN currently serving the MS. It also decapsulates and forwards external data network packets to the appropriate data network and handles the billing of data traffic.

The main functions of the SGSN are to detect new GPRS mobile stations in its service area, handle the process of registering the new MSs along with the GPRS registers, send/receive data packets to/from the GPRS MS, and keep a record of the location of the MSs inside of its service area. The subscription information is stored in a GPRS register (HLR) where the mapping between a mobile's identity (such as MS-ISDN or IMSI) and the PSPDN address is stored. The GPRS register acts as a database from which the SGSNs can ask whether a new MS in its area is allowed to join the GPRS network.

The GPRS gateway support nodes GGSN connect an operator's GPRS network to external systems, such as other operators' GPRS systems, data networks 11, such as an IP network (Internet) or a X.25 network, and service centres. Fixed hosts 14 can be connected to the data network 11 e.g. by means of a local area network LAN and a router 15. A border gateway BG provides access to an inter-operator GPRS backbone network 12. The GGSN may also be connected directly to a private corporate network or a host. The GGSN includes GPRS subscribers' PDP (Packet Data Protocol) addresses and routing information, i.e. SGSN addresses. Routing information is used for tunnelling protocol data units PDU from data network 11 to the current switching point of the MS, i.e. to the serving SGSN. The functionalities of the SGSN and GGSN can be connected to the same physical node.

The home location register HLR of the GSM network contains GPRS subscriber data and routing information and it maps the subscriber's IMSI into an SGSN address and one or more pairs of the PDP type and PDP address. The HLR also maps each PDP type and PDP address pair into a GGSN node. The SGSN has a Gr interface to the HLR (a direct signalling connection or via an internal backbone network 13). The HLR of a roaming MS and its serving SGSN may be in different mobile communication networks.

The intra-operator backbone network 13, which interconnects an operator's SGSN and GGSN equipment, can be implemented, for example, by

means of a local network, such as an IP network. It should be noted that an operator's GPRS network can also be implemented without the intra-operator backbone network, e.g. by providing all features in one computer.

Fig. 2 shows the protocol stacks used at various points in a 3G network.

A mobile station (MS) engaged in GPRS traffic sends a CELL UPDATE (CU) message after detecting that it has changed its cell. A number of cells constitute a routing area (RA), and when the routing area changes, the MS sends a ROUTING AREA UPDATE (RAU) message. In the UMTS the cell update messages are not sent to the SGSN, only to the RNC. Therefore the SGSN is not aware of the exact cell of the MS. For an active MS, the SGSN only knows an identifier of the RNC which handles the MS. For an idle MS, the SGSN only knows the MS's routing area identifier.

A first problem underlying the invention will now be described with reference to Fig. 3. A 3G system may pose certain problems which do not exist in 2G systems, such as the GSM and the GPRS. For example, when the MS is changing its cell, it is possible that a connection-oriented connection is not handled by the RNC controlling the MS's active cells but by another RNC. The former RNC is called a 'drift RNC' and the latter RNC is called a 'serving RNC'. In Fig. 3, RNC1 is the serving RNC (SRNC) and RNC2 is the drift RNC (DRNC). In such a case, the CU and RAU messages are transmitted over the air interface piggybacked to the channel which is reserved for the circuit-switched connection (connection-oriented connection), and they terminate at the serving RNC. In a GPRS core network, if the Radio Access Network (RAN) inserts a cell ID (identifier) into the CU or RAU messages, thereby indicating where the MS is actually located, and if that cell is not controlled by the serving RNC, the SGSN may use another RNC for the packet-switched connections. This is not possible, however, because all simultaneous connections for one user should be handled by one RNC. In other words, there may be an ambiguity concerning the RNC which the SGSN should use. The same holds in a UMTS system if the Radio Access Network (RAN) inserts a cell ID (identifier) into a RAU message or an equivalent.

A second, related problem is that current GPRS or 3G systems do not offer a smooth Inter-SGSN routing area update (RAU) procedure. A lot of signalling is needed between the new SGSN and the old SGSN, the HLR, MSC and the GGSN(s). In particular, the new SGSN must receive the sub-

scriber data from the old SGSN before it can be sure that it can accept the RAU and continue signalling. This signalling causes a delay of up to several seconds, which in some cases could be unacceptable. Moreover, in packet traffic a virtual connection can last for several days. Therefore, the existing  
 5 concepts of an anchor-MSC and float-MSC are not appropriate.

### Disclosure of the invention

It is an object of the invention to provide a method and equipment for eliminating the first problem associated with prior art systems. The object is attained with the method and equipment which are characterized by what is  
 10 disclosed in the characterizing part of the appended independent claims. Solutions to the second problem and preferred solutions to the first problem are disclosed in the appended dependent claims.

The invention is based on the idea that for a terminal sending information on the basis of which its location can be determined (such as a mobile station having a connection-oriented connection while sending a CU or  
 15 RAU message), the terminal's location information (e.g. its cell ID) can be transformed, e.g. by using a masked or falsified (faked) location information, such as a fake cell ID. The fake location information is preferably chosen so that the SGSN assumes the location of the MS to be under the serving RNC.  
 20 A certain pool or group of cell addresses can be used for this purpose. It might be beneficial to choose the addresses so that the SRNC (or SGSN) can detect that the traffic has been forwarded.

According to various preferred embodiments of the invention, the information about the MS's location indicates one or more of the following:

25 a location (e.g. a cell) which is controlled by the SRNC and which is part of the MS's active set, if such a cell exists;

a virtual location (e.g. cell), which is not controlled by either RNC, in case the MS's active set does not comprise a cell which is controlled by the SRNC;

30 the last location (e.g. a cell) which is controlled by the SRNC and which has been part of the MS's active set in case the MS's active set does not comprise a cell which is controlled by the SRNC;

a location (e.g. a cell) controlled by the DRNC in case the MS's active set does not comprise a cell controlled by the SRNC; and/or

35 a location (e.g. a cell) whose location information the MS received last.

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The fact that the MS's location information indicates one of these cells has two possible interpretations. The MS's location information may indicate such a cell either explicitly or implicitly. An explicit indication means that the location information contains actual cell identifiers. An implicit indication means that the location information contains some information (e.g. one or more routing or location area identifiers) on the basis of which a cell can be identified (if so desired). It is also feasible to transfer only some location-related data and use it for calculating the MS's location.

The criteria for sending location information preferably comprises a change of the MS's location, activation of a PDP context for the MS and expiry of a recurring period of time.

As briefly noted above, the information about the MS's location may indicate a location, such as a cell, whose location information the MS received last. When a mobile station has at least one active connection, it receives system information only via its dedicated signalling connection. This system information comprises e.g. current location (such as routing area, location area and cell identity) of the mobile station. The system information is similar in nature to the information which the MS receives via a broadcast control channel BCCH when it does not have any active connections. The SRNC only sends system information about the cells controlled by it. In other words, it does not send system information about cells controlled by the DRNC. Based on the system information, the MS can determine whether or not it has moved into a new routing or location area. The SRNC sends this information as necessary. One suitable criterion for sending it is that the MS has moved and its active set does not contain the cell whose system information the SRNC has sent last, or after an SRNC handover (relocation).

Use of the transformed location, such as a fake cell ID, causes another problem, however. When the MS's last connection-oriented connection is terminated and the serving RNC deleted from the MS-URAN connection, the SGSN must be informed about the new (correct) RNC. This holds also for cases where the serving RNC is changed. This problem can be solved as follows. If the MS's last connection-oriented connection is terminated or if the serving RNC is changed, an additional CU or RAU must be performed for the MS, even if the MS's location information has not changed.

The location reporting functionality performed by a serving RNC can be summarised as follows:

1. Check if RNC handover should be performed. If not, then:
2. Select a location, such as a cell which is controlled by the serving RNC and which is part of the MS's active set, if such a cell exists. (N.B. The active set must also comprise at least one cell controlled by the drift RNC, otherwise the concept of drift RNC would not exist.)

If no handover was performed in step 1 or no cell was found in step 2, then do one of the following:

- 3A. Select a virtual location, such as a cell. A virtual cell is not a real cell (i.e. it is not covered by radio transceivers); or
- 3B. Select, among the cells controlled by the serving RNC, the last one used by the MS.

If step 3A is taken, i.e. a virtual location is selected, the core network elements (the MSC and/or the SGSN) must determine that the location information does not refer to reallocation. Such a determination can be made on the basis of a suitable cell ID numbering plan or a translation table.

### Brief description of the drawings

The invention will now be described in connection with its preferred embodiments, with reference to the accompanying drawings, in which:

Fig. 1 is a block diagram of a telecommunications system showing the components which are essential for understanding the invention;

Fig. 2 shows the protocol stacks used at various points in a 3G network;

Fig. 3 is a simplified block diagram for pointing out the problem more clearly;

Fig. 4 shows a routing area update (RAU) procedure for a GPRS network; and

Fig. 5 shows an alternative Inter-SGSN routing area update procedure according to a preferred embodiment of the invention.

### Detailed description of the invention

Fig. 4 shows a routing area update (RAU) procedure for a GPRS network. In step 4-1 the MS sends a ROUTING AREA UPDATE REQUEST via RNC2 (drift RNC) to RNC1 (serving RNC). In step 4-2 RNC1 adds the MS's location information and forwards the RAU Request to SGSN1. In step 4-3 SGSN2 requests the MS's PDP context from SGSN1 which responds in step 4-4. In step 4-5 SGSN1 begins to forward MS-terminated (MT) packets to

SGSN2. Security functions have been shown as step 4-6. In step 4-7 SGSN2 sends an UPDATE PDP CONTEXT REQUEST to the GGSN node which responds in step 4-8. In step 4-9 the MS's location is updated in the HLR. In step 4-10 the HLR cancels the MS's location in SGSN1 which acknowledges this in step 4-11. In step 4-12 the HLR sends an INSERT SUBSCRIBER DATA to SGSN2 which acknowledges in step 4-13. In step 4-14 the HLR sends to SGSN2 an acknowledgement to the message sent in step 4-9. In step 4-15, if the MS is IMSI-attached and does not have a circuit-switched connection, the SGSN-VLR association must be updated. SGSN2 updates the MS's location and SGSN number in the VLR, which acknowledges these in step 4-16. In steps 4-17 and 4-18 the RAU process is completed.

Fig. 5 shows an alternative Inter-SGSN routing area update procedure according to a preferred embodiment of the invention. This embodiment and its alternative modifications solve the second problem underlying the invention.

Due to macrodiversity, the triggering of a RAU is less clear than in 2G systems. A RAU could be triggered e.g. if all cells in the MS's active set belong to the new RA, or if more than half of the cells belong to it. In step 5-1 the MS sends a ROUTING AREA UPDATE REQUEST via RNC2 (drift RNC) to RNC1 (serving RNC). The MS sends the RAU request via its uplink connection, if one exists. Because of the coexistence of the drift RNC and the serving RNC, the update is received by the SRNC which does not control the MS's new routing area. The MS should suspend its session management procedures. In step 5-2 RNC1 adds the MS's location information and forwards the RAU REQUEST to SGSN1. This is in contrast to prior art GPRS systems where the RAU REQUEST is sent to SGSN2. In step 5-3 security functions may be performed, as usual. A benefit of sending the RAU REQUEST to SGSN1 is that SGSN1 already knows the MS's digital signature (PTMSI signature in GPRS terms), and it is likely to have authentication triplets for it. Thus there is no need to fetch these separately, whereby the delays due to the inter-SGSN handover can be shortened.

In step 5-4, if the security functions are completed successfully, the SGSN1 sends a FORWARD RAU REQUEST to SGSN2. This message includes parameters for resuming the connection(s) after the RAU. Such parameters include session management data and eventually lu information (address of

RNC2). SGSN1 may start a timing period during which it will keep the MS's data in its memory.

In step 5-5 SGSN2 updates the MS's location (IMSI, SGSN address) in the HLR. In step 5-6 the HLR inserts the subscriber data (IMSI, subscription details) into SGSN2 which validates the MS's presence in the new RA. If all regional and other checks are passed, SGSN2 establishes an MM (Mobility Management) context for the MS and returns an acknowledgement to the HLR in step 5-7.

In step 5-8 SGSN2 may establish an lu link to RNC2 which may send some radio parameters to resume quickly a connection with the MS. This feature is especially useful if the MS has a delay-sensitive application (this can be determined by checking the MS's session management context).

In step 5-9 SGSN2 returns an accept message to the message sent in step 5-4. The accept message includes the new RA index, the MS's new temporary identity (P-TMSI in GPRS terms) and/or its new digital signature. Additionally, some radio parameters or codes obtained from RNC2 may be sent. SGSN1 should immediately acknowledge this message to facilitate error handling. In step 5-10 SGSN1 sends to the MS an RAU ACCEPT message which preferably contains the same parameters as the message of step 5-9. Additionally, if an acknowledgement transmission is active, it may contain some protocol state information known by SGSN1 (this corresponds to LLC acknowledgement in GPRS).

In step 5-11 SGSN1 suspends downlink data transmission for acknowledged mode, in order to keep the protocol state intact. Depending on the QoS, SGSN1 may continue data transmission for unacknowledged mode.

In step 5-12 the MS acknowledges with a RAU COMPLETE message which contains the new temporary identity (P-TMSI) and some protocol state information known by the MS, such as an LLC acknowledgement for each LLC connection used by the MS (which confirms all MT N-PDUs transferred successfully before the start of the update procedure. If the packet acknowledgement (equivalent to LLC acknowledgement in GPRS) confirms reception of certain N-PDUs, these N-PDUs will be discarded by SGSN1. This message will trigger RNC relocation (which in this embodiment is triggered by the MS).

In step 5-13 the MS establishes a radio connection with RNC2. If radio parameters were sent in step 5-10 (RAU ACCEPT), the MS could set up a radio connection immediately with these parameters. Otherwise, the MS

should re-establish the radio connection by accessing the common channel, which causes a longer break in the connection. This is why sending the radio parameters in step 5-10 may be QoS-dependent. The MS should send an uplink packet (not shown) to SGSN2 in case the RAU COMPLETE message was  
5 lost because of error handling. An alternative embodiment, omitting step 5-13, will be described later.

In step 5-14 SGSN1 sends a FORWARD RAU COMPLETE message to SGSN2. In step 5-15 SGSN1 cancels the lu links towards RNC1 and begins to forward downlink packets to SGSN2. It may keep the MS's context and for-  
10 ward MT packets until the expiry of the optional timing period which was started in step 5-4. In step 5-16, when SGSN2 receives the FORWARD RAU COMPLETE message, it resumes data transmission towards the MS.

In step 5-17 SGSN2 sends to the relevant GGSN(s) an UPDATE PDP CONTEXT REQUEST (SGSN2 address, Tunnel Identifier, QoS Negotiated).  
15 The GGSNs update their PDP context fields and return a response in step 5-18. N.B: Steps 5-17 and 5-18 may take place any time after step 5-5.

In step 5-19 the HLR cancels the MS's location in SGSN1. This message contains the MS's IMSI, and the cancellation type is set to Update procedure. If the optional timer was not set in step 5-4, SGSN1 deletes the  
20 MS's MM and PDP contexts. Otherwise it waits until the timer expires. The timing period allows SGSN1 to complete forwarding of N-PDUs. It also ensures that the MS's MM and PDP contexts are kept in case the MS initiates another Inter-SGSN RAU before completing the ongoing RAU. In step 5-20 SGSN1 acknowledges with a CANCEL LOCATION ACK.

25 According to an alternative embodiment step 5-13 is omitted. Instead, during step 5-15 RNC1 receives an indication from SGSN1 that the RNC could now be relocated. RNC1 will inform RNC2 which will start handling the MS directly.

In general, the invention is equally applicable if the SGSN is re-  
30 placed by a 3G MSC (or an MSC/SGSN combination). For this embodiment, the MSC and/or SGSN could be called 'switching elements' since they route packets and/or establish circuit-switched connections.

In GSM systems no location area updates are performed during connection, in order not to confuse the relay and anchor MSCs. With macro  
35 diversity the LAU could reach the old MSC directly, whereby it is possible to have a LAU during a call. However, in a hard inter-MSC handover, a special

message (LAU with an active call indication) may be needed. Such a message could trigger the new MSC to establish a connection with the old MSC and try to resume the call. A problem is that this would happen especially between the GSM and the UMTS, which means that a GSM MSC would need to interpret  
5 this special LAU message.

It should be noted that figures 4 and 5 illustrate worst-case scenarios in the sense that both RNCs are under different SGSN nodes or MSCs. If the SGSNs or the MSCs are the same, these scenarios could be simplified accordingly.

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**Claims**

1. A method for indicating a terminal's (MS) location in a telecommunications system comprising:

a core network (CN); and

5 an access network (RAN) comprising a first network controller, which at least temporarily acts as the terminal's serving network controller (SRNC), for reporting the terminal's location to the core network, and a second network controller, which at least temporarily acts as the terminal's drift network controller (DRNC), for maintaining a connection with the terminal;  
10 wherein

there is a first set of predetermined criteria for sending information about the terminal's (MS) location to the core network (CN); and

in response to fulfilment of at least one criterion in the first set, the terminal (MS) sends to the second network controller (DRNC) location information (4-1, 5-1) on the basis of which the terminal's location can be determined; and the second network controller (DRNC) forwards the location information to the first network controller (SRNC) for reporting to the core network (CN);  
15

characterized in that

20 there is a second set of at least one predetermined criterion for transforming the location information;

the first network controller (SRNC) checks whether at least one criterion in the second set is fulfilled; and

in response to fulfilment of at least one criterion in the second set,  
25 the first network controller (SRNC) sends transformed information (4-2, 5-2) about the terminal's location to the core network (CN).

2. A method according to claim 1, characterized in that in response to terminating the last connection-oriented connection with the terminal, the first network controller (SRNC) reports the terminal's correct location  
30 to the core network (CN).

3. A method according to claim 1 or 2, characterized in that the information about the terminal's (MS) location indicates a location which is controlled by the first network controller (SRNC) and which is part of the terminal's active set, if such a location exists.

4. A method according to claim 3, characterized in that if the terminal's active set does not comprise a location which is controlled by the first network controller (SRNC), the information about the terminal's (MS) location indicates a virtual location, which is not controlled by either of said network controllers.

5. A method according to claim 3, characterized in that if the terminal's active set does not comprise a location which is controlled by the first network controller (SRNC), the information about the terminal's (MS) location indicates the last location which is controlled by the first network controller and which has been part of the terminal's active set.

6. A method according to claim 3, characterized in that if the terminal's active set does not comprise a location which is controlled by the first network controller (SRNC), said information about the terminal's (MS) location indicates a location which is at least partially controlled by the first network controller.

7. A method according to any one of the preceding claims, characterized in that the information about the terminal's (MS) location indicates a location the location information of which the terminal received last.

8. A method according to any one of the preceding claims, characterized in that if the terminal's (MS) active set does not comprise a location controlled by the first network controller (SRNC), the information about the terminal's (MS) location indicates a location controlled by the second network controller (DRNC).

9. A method according to any one of the preceding claims, characterized in that said information about the terminal's (MS) location indicates at least one cell identifier, routing area identifier or location area identifier.

10. A method according to any one of the preceding claims, characterized in that said first set of criteria comprises a change of the terminal's location, activation of a PDP context for the terminal and expiry of a recurring period of time.

11. A method according to any one of the preceding claims, characterized in that

the first and the second network controller (RNC1, RNC2) are associated, respectively, with a first and a second switching element (SGSN1, SGSN2) for maintaining subscription information related to the terminal (MS);  
5 and

information about the terminal's location is received by the first switching element (SGSN1) sends (5-4) information about the terminal's location to the second switching element (SGSN2) without a separate request.

10 12. A method according to claim 11, characterized in that said information about the terminal's location comprises the terminal's Packet Data Protocol and/or Mobility Management context.

13. A method according to claim 11 or 12, characterized in that each of the first and the second switching element (SGSN1, SGSN2) is  
15 substantially an SGSN node, a Mobile services Switching Centre or a combination of both.

14. A method according to any one of the preceding claims, characterized in that said terminal is a mobile station, said access network is a radio access network and said network controller is a radio network controller.  
20

15. A first network controller for supporting a terminal (MS) in a telecommunications system which comprises a core network (CN) and an access network (RAN);

wherein said first network controller is adapted to act, at least temporarily, as the terminal's serving network controller (SRNC) in said access network (RAN), for reporting the terminal's location to the core network (CN);  
25 and

the first network controller (SRNC) is adapted to receive location information from a drift network controller (DRNC) and to report it to the core network (CN) for determining the terminal's (MS) location;  
30

characterized in that

the first network controller (SRNC) is adapted to transform said information about the terminal's location before reporting it to the core network (CN).

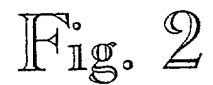


Fig. 3

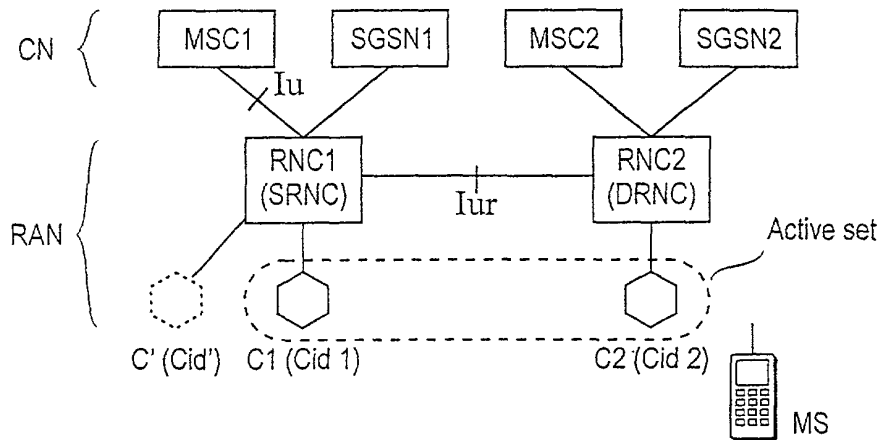
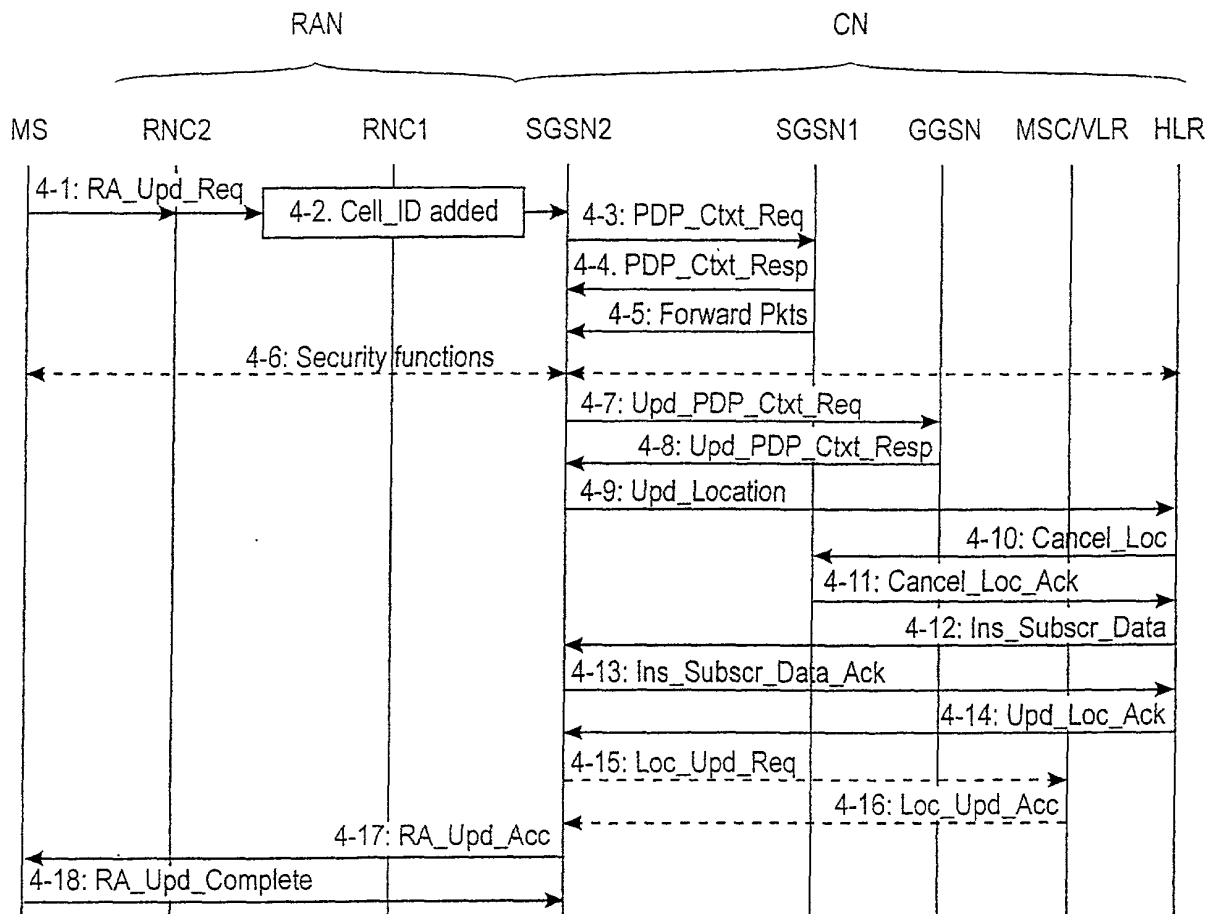
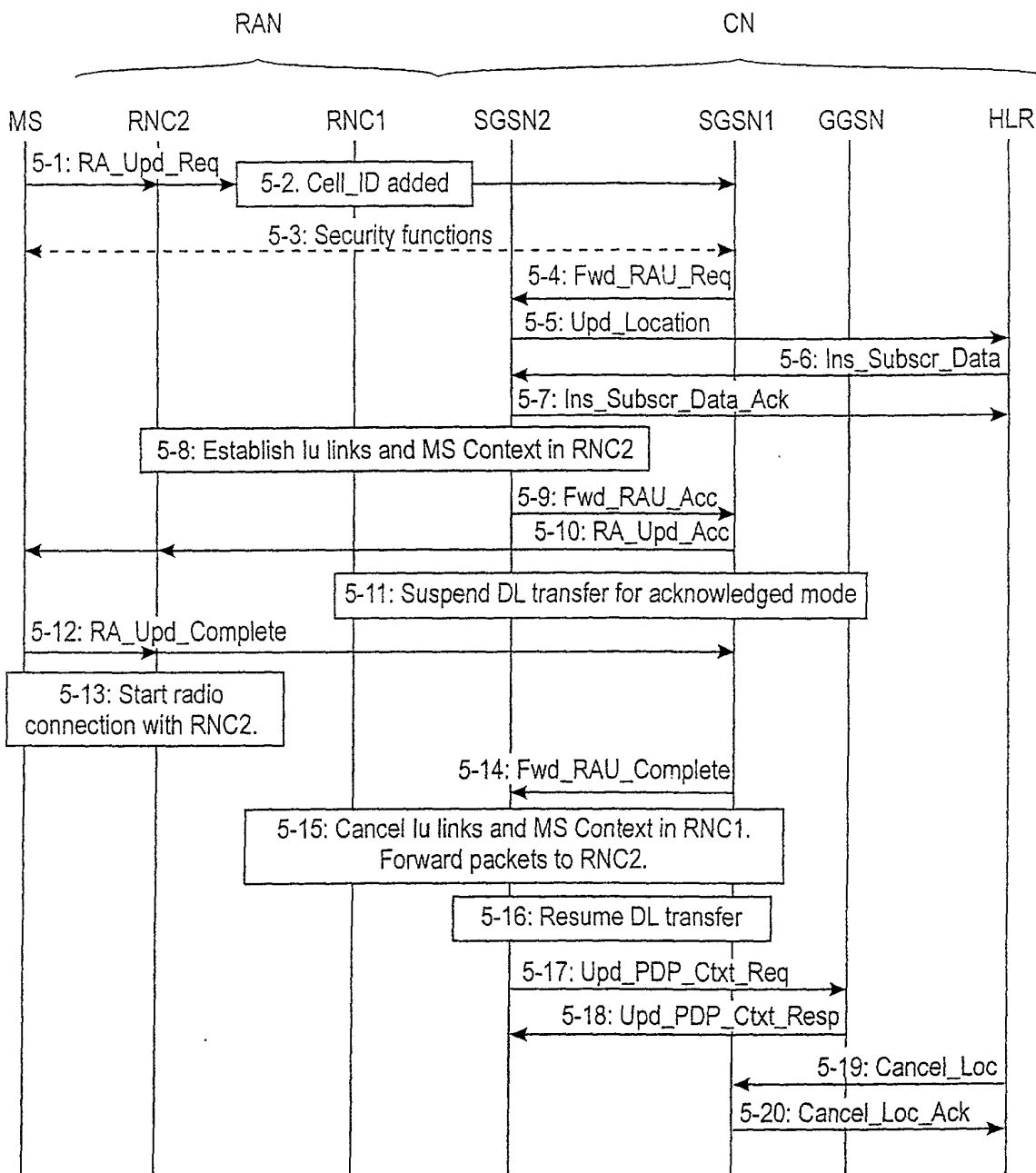


Fig. 4





As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED

LOCATION MANAGEMENT FOR CELLULAR SYSTEMS

the specification of which (CHECK applicable BOX(ES))

X ☐ A. ☐ is attached hereto.  
BOX(ES) ☐ B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_ /  
☒ C. ☒ was filed as PCT International Application No. PCT/ FI00 / 00357 on 26 April 2000

and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)			Date first Laid- open or Published	Date Patented or Granted	Priority Claimed Yes No
Number	Country	Day/MONTH/Year Filed			
991466	Finland	28 June 1999			X

I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)		Status	Priority Claimed Yes No
Application No. (series code/serial no.)	Day/MONTH/Year Filed	pending, abandoned, patented	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Madison & Sutro LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or a below attorney in writing to the contrary.

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